

JC962 U.S. PTO
02/14/01

02-16-01

A

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box →

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. TIVO0067

First Inventor or Application Identifier Platt

Title Method for Generation, Delivery, and Validation of...

Express Mail Label No. EL540887110US

JC962 U.S. PTO
02/14/01

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 22] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 		6. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4]		ACCOMPANYING APPLICATION PARTS	
4. Oath or Declaration [Total Pages 2] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 		7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
		8. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>	
		9. <input type="checkbox"/> English Translation Document (if applicable)	
		10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
		11. <input type="checkbox"/> Preliminary Amendment	
		12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
		13. <input checked="" type="checkbox"/> * Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, (PTO/SB/09-12) <input type="checkbox"/> Status still proper and desired	
		14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
		15. <input type="checkbox"/> Other:	
* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).			
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ / _____ Prior application information: Examiner _____ Group / Art Unit: _____			
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
17. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		22862 <small>(Insert Customer No. or Attach bar code label here)</small>	
or <input type="checkbox"/> Correspondence address below			
Name <hr/>			
Address <hr/>			
City _____		State _____	Zip Code _____
Country _____		Telephone _____	Fax _____
Name (Print/Type) Michael A. Glenn		Registration No. (Attorney/Agent) 30,176	
Signature 		Date 2/14/01	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



02/14/01

FEE TRANSMITTAL

for FY 1999

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (6/99)

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

FEE TRANSMITTAL		<i>Complete if Known</i>	
for FY 1999		Application Number	Unassigned
		Filing Date	Herewith
		First Named Inventor	Platt
		Examiner Name	Unassigned
		Group / Art Unit	Unassigned
		Attorney Docket No.	TIVO0067
TOTAL AMOUNT OF PAYMENT		(\$) 569.00	

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 50-1240</p> <p>Deposit Account Name TiVo, Inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>					<p>3. ADDITIONAL FEES</p> <table> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th colspan="3"></th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td></td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td></td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>760</td><td>246</td><td>380</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>760</td><td>249</td><td>380</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr> <td colspan="5">Other fee (specify) _____</td> <td colspan="5"></td> </tr> <tr> <td colspan="5">Other fee (specify) _____</td> <td colspan="5"></td> </tr> <tr> <td colspan="5">SUBTOTAL (2) (\$174.00)</td> <td colspan="5">SUBTOTAL (3) (\$40.00)</td> </tr> <tr> <td colspan="5">Reduced by Basic Filing Fee Paid</td> <td colspan="5"></td> </tr> </tbody> </table>					Large Entity Fee Code (\$)	Small Entity Fee Code (\$)				Fee Description	Fee Paid	105	130	205	65		Surcharge - late filing fee or oath		127	50	227	25		Surcharge - late provisional filing fee or cover sheet		139	130	139	130		Non-English specification		147	2,520	147	2,520		For filing a request for reexamination		112	920*	112	920*		Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action		115	110	215	55		Extension for reply within first month		116	380	216	190		Extension for reply within second month		117	870	217	435		Extension for reply within third month		118	1,360	218	680		Extension for reply within fourth month		128	1,850	228	925		Extension for reply within fifth month		119	300	219	150		Notice of Appeal		120	300	220	150		Filing a brief in support of an appeal		121	260	221	130		Request for oral hearing		138	1,510	138	1,510		Petition to institute a public use proceeding		140	110	240	55		Petition to revive - unavoidable		141	1,210	241	605		Petition to revive - unintentional		142	1,210	242	605		Utility issue fee (or reissue)		143	430	243	215		Design issue fee		144	580	244	290		Plant issue fee		122	130	122	130		Petitions to the Commissioner		123	50	123	50		Petitions related to provisional applications		126	240	126	240		Submission of Information Disclosure Stmt		581	40	581	40		Recording each patent assignment per property (times number of properties)		146	760	246	380		Filing a submission after final rejection (37 CFR § 1.129(a))		149	760	249	380		For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____										Other fee (specify) _____										SUBTOTAL (2) (\$174.00)					SUBTOTAL (3) (\$40.00)					Reduced by Basic Filing Fee Paid									
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)				Fee Description	Fee Paid																																																																																																																																																																																																																																								
105	130	205	65		Surcharge - late filing fee or oath																																																																																																																																																																																																																																									
127	50	227	25		Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																																									
139	130	139	130		Non-English specification																																																																																																																																																																																																																																									
147	2,520	147	2,520		For filing a request for reexamination																																																																																																																																																																																																																																									
112	920*	112	920*		Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																									
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																									
115	110	215	55		Extension for reply within first month																																																																																																																																																																																																																																									
116	380	216	190		Extension for reply within second month																																																																																																																																																																																																																																									
117	870	217	435		Extension for reply within third month																																																																																																																																																																																																																																									
118	1,360	218	680		Extension for reply within fourth month																																																																																																																																																																																																																																									
128	1,850	228	925		Extension for reply within fifth month																																																																																																																																																																																																																																									
119	300	219	150		Notice of Appeal																																																																																																																																																																																																																																									
120	300	220	150		Filing a brief in support of an appeal																																																																																																																																																																																																																																									
121	260	221	130		Request for oral hearing																																																																																																																																																																																																																																									
138	1,510	138	1,510		Petition to institute a public use proceeding																																																																																																																																																																																																																																									
140	110	240	55		Petition to revive - unavoidable																																																																																																																																																																																																																																									
141	1,210	241	605		Petition to revive - unintentional																																																																																																																																																																																																																																									
142	1,210	242	605		Utility issue fee (or reissue)																																																																																																																																																																																																																																									
143	430	243	215		Design issue fee																																																																																																																																																																																																																																									
144	580	244	290		Plant issue fee																																																																																																																																																																																																																																									
122	130	122	130		Petitions to the Commissioner																																																																																																																																																																																																																																									
123	50	123	50		Petitions related to provisional applications																																																																																																																																																																																																																																									
126	240	126	240		Submission of Information Disclosure Stmt																																																																																																																																																																																																																																									
581	40	581	40		Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																									
146	760	246	380		Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																									
149	760	249	380		For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																									
Other fee (specify) _____																																																																																																																																																																																																																																														
Other fee (specify) _____																																																																																																																																																																																																																																														
SUBTOTAL (2) (\$174.00)					SUBTOTAL (3) (\$40.00)																																																																																																																																																																																																																																									
Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																																														

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Michael A. Glenn	Registration No. (Attorney/Agent)	30,176	Telephone
Signature				Date 2/14/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.